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February 27, 2017

COMMITTEE SUBSTITUTE  
FOR

SENATE BILL NO. 723

By: Griffin of the Senate

and

Ownbey of the House

An Act relating to the Oklahoma Child Care Facilities Licensing Act; amending 10 O.S. 2011, Section 404.1, as last amended by Section 1, Chapter 307, O.S.L. 2016 (10 O.S. Supp. 2016, Section 404.1), which relates to licensure; requiring records searches of certain persons prior to licensure, employment or certain access; requiring promulgation of certain rules relating to records searches; prohibiting ownership of, employment with or residence in a child care facility for certain actions; and providing an effective date.

BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA:

SECTION 1. AMENDATORY 10 O.S. 2011, Section 404.1, as last amended by Section 1, Chapter 307, O.S.L. 2016 (10 O.S. Supp. 2016, Section 404.1), is amended to read as follows:

Section 404.1. A. On and after November 1, 2013:

1. Prior to the issuance of a permit or license, owners and responsible entities making a request to establish or operate a child care facility shall have:

- 1 a. an Oklahoma State Courts Network search conducted by  
2 the Department,
- 3 b. a ~~Child-Care~~ Restricted Registry search conducted by  
4 the facility,
- 5 c. a national criminal history records search conducted  
6 pursuant to paragraph 10 of this subsection,
- 7 d. a criminal history records and sex offender registry  
8 search conducted by an authorized source, when the  
9 individual has lived outside ~~the United States~~ this  
10 state within the last ~~three (3)~~ five (5) years, and
- 11 e. a search of the Department of Corrections' files  
12 maintained pursuant to the Sex Offenders Registration  
13 Act and conducted by the Department of Human Services,
- 14 f. a search of any available child abuse and neglect  
15 registry within a state the individual has resided in  
16 within the last five (5) years,
- 17 g. search of the nontechnical services worker abuse  
18 registry maintained by the State Department of Health  
19 pursuant to Section 1-1950.7 of Title 63 of the  
20 Oklahoma Statutes, and
- 21 h. a search of the community services worker registry  
22 maintained by the Department of Human Services  
23 pursuant to Section 1025.3 of Title 56 of the Oklahoma  
24 Statutes;

1        2. Prior to the employment of an individual:

2            a. an Oklahoma State Courts Network search, conducted by  
3            the Department, shall be requested and received by the  
4            facility; provided however, if twenty-four (24) hours  
5            has passed from the time the request to the Department  
6            was made, the facility may initiate employment,  
7            notwithstanding the provisions of this paragraph,

8            b. a ~~Child Care~~ Restricted Registry search shall be  
9            conducted by the facility with notification of the  
10           search submitted to the Department,

11           c. a national criminal history records search pursuant to  
12           paragraph 10 of this subsection shall be submitted,

13           d. a criminal history records and sex offender registry  
14           search conducted by an authorized source, when the  
15           individual has lived outside ~~the United States~~ this  
16           state within the last ~~three (3)~~ five (5) years, shall  
17           be submitted to the Department, ~~and~~

18           e. a search of the Department of Corrections' files  
19           maintained pursuant to the Sex Offenders Registration  
20           Act shall be conducted by the Department and received  
21           by the facility,

22           f. a search of any available child abuse and neglect  
23           registry within a state the individual has resided in  
24           within the last five (5) years,

- 1        g. search of the nontechnical services worker abuse  
2        registry maintained by the State Department of Health  
3        pursuant to Section 1-1950.7 of Title 63 of the  
4        Oklahoma Statutes, and  
5        h. a search of the community services worker registry  
6        maintained by the Department of Human Services  
7        pursuant to Section 1025.3 of Title 56 of the Oklahoma  
8        Statutes;

9        3. Prior to allowing unsupervised access to children by  
10       employees or individuals, including contract employees and  
11       volunteers and excluding the exceptions in paragraph 8 of this  
12       subsection:

- 13       a. Oklahoma State Courts Network search results,  
14       conducted by the Department, shall be received by the  
15       facility,  
16       b. a Child Care Restricted Registry search shall be  
17       conducted by the facility with notification of the  
18       search submitted to the Department,  
19       c. national criminal history records search results  
20       pursuant to paragraph 10 of this subsection shall be  
21       received by the facility,  
22       d. a criminal history records and sex offender registry  
23       search conducted by an authorized source, when the  
24       individual has lived outside ~~the United States~~ this

1           state within the last ~~three (3)~~ five (5) years shall  
2           be submitted to the Department, ~~and~~

3           e.    a search of the Department of Corrections' files  
4                maintained pursuant to the Sex Offenders Registration  
5                Act shall be conducted by the Department and received  
6                by the facility,

7           f.    a search of any available child abuse and neglect  
8                registry within a state the individual has resided in  
9                within the last five (5) years,

10          g.    search of the nontechnical services worker abuse  
11                registry maintained by the State Department of Health  
12                pursuant to Section 1-1950.7 of Title 63 of the  
13                Oklahoma Statutes, and

14          h.    a search of the community services worker registry  
15                maintained by the Department of Human Services  
16                pursuant to Section 1025.3 of Title 56 of the Oklahoma  
17                Statutes;

18          4.    Prior to the issuance of a permit or license and prior to  
19                the residence of adults who subsequently move into a facility,  
20                adults living in the facility excluding the exception in paragraph 7  
21                of this subsection shall have:

22               a.    an Oklahoma State Courts Network search conducted by  
23                      the Department and the facility shall be in receipt of  
24                      the search results,

- 1           b.    a ~~Child-Care~~ Restricted Registry search conducted by  
2                   the facility with notification of the search submitted  
3                   to the Department,
- 4           c.    a national criminal history records search conducted  
5                   pursuant to paragraph 10 of this subsection,
- 6           d.    a criminal history records and sex offender registry  
7                   search conducted by an authorized source, when the  
8                   individual has lived outside ~~the United States~~ this  
9                   state within the last ~~three (3)~~ five (5) years, ~~and~~
- 10          e.    a search of the Department of Corrections' files  
11                   maintained pursuant to the Sex Offenders Registration  
12                   Act conducted by the Department and received by the  
13                   facility,
- 14          f.   a search of any available child abuse and neglect  
15                   registry within a state the individual has resided in  
16                   within the last five (5) years,
- 17          g.   search of the nontechnical services worker abuse  
18                   registry maintained by the State Department of Health  
19                   pursuant to Section 1-1950.7 of Title 63 of the  
20                   Oklahoma Statutes, and
- 21          h.   a search of the community services worker registry  
22                   maintained by the Department of Human Services  
23                   pursuant to Section 1025.3 of Title 56 of the Oklahoma  
24                   Statutes;

1        5. Children who reside in the facility and turn eighteen (18)  
2 years of age excluding the exception in paragraph 7 of this  
3 subsection shall have:

- 4            a. an Oklahoma State Courts Network search conducted by  
5                the Department,
- 6            b. a ~~Child-Care~~ Restricted Registry search conducted by  
7                the facility with notification of the search submitted  
8                to the Department,
- 9            c. a national criminal history records search conducted  
10               pursuant to paragraph 10 of this subsection, and
- 11           d. a search of the Department of Corrections' files  
12               pursuant to the Sex Offenders Registration Act  
13               conducted by the Department and received by the  
14               facility;

15        6. Prior to review of or access to fingerprint results, owners,  
16 responsible entities, directors, and other individuals who have  
17 review of or access to fingerprint results shall have a national  
18 criminal history records search pursuant to paragraph 10 of this  
19 subsection;

20        7. Provisions specified in paragraphs 4 and 5 of this  
21 subsection shall not apply to residents who are receiving services  
22 from a residential child care facility;

23        8. A national criminal history records search pursuant to  
24 paragraph 10 of this subsection shall not be required for volunteers

1 who transport children on an irregular basis when a release is  
2 signed by the parent or legal guardian noting their understanding  
3 that the volunteer does not have a completed national criminal  
4 history records search. The provisions in paragraph 3 of this  
5 subsection shall not be required for specialized service  
6 professionals who are not employed by the program and have  
7 unsupervised access to a child when a release is signed by the  
8 parent or legal guardian noting his or her understanding of this  
9 exception. These exceptions shall not preclude the Department from  
10 requesting a national fingerprint or an Oklahoma State Bureau of  
11 Investigation name-based criminal history records search or  
12 investigating criminal, abusive, or harmful behavior of such  
13 individuals, if warranted;

14 9. A national criminal history records search pursuant to  
15 paragraph 10 of this subsection shall be required on or before  
16 November 1, 2016, for existing owners, responsible entities,  
17 employees, individuals with unsupervised access to children, and  
18 adults living in the facility, as of November 1, 2013, unless  
19 paragraph 6 of this subsection applies;

20 10. The Department shall require a national criminal history  
21 records search based upon submission of fingerprints that shall:

22 a. be conducted by the Oklahoma State Bureau of  
23 Investigation and the Federal Bureau of Investigation  
24 pursuant to Section 150.9 of Title 74 of the Oklahoma



1 Statutes and the federal National Child Protection Act  
2 and the federal Volunteers for Children Act with the  
3 Department as the authorized agency,

4 b. be submitted and have results received between the  
5 Department and the Oklahoma State Bureau of  
6 Investigation through secure electronic transmissions,

7 c. include Oklahoma State Bureau of Investigation rap  
8 back, requiring the Oklahoma State Bureau of  
9 Investigation to immediately notify the Department  
10 upon receipt of subsequent criminal history activity,  
11 and

12 d. be paid by the individual or the facility;

13 11. The Director of the Department, or designee, shall  
14 promulgate rules that may authorize an exception to the  
15 fingerprinting requirements for individuals who have a severe  
16 physical condition which precludes such individuals from being  
17 fingerprinted; ~~and~~

18 12. The Director of the Department, or designee, shall  
19 promulgate rules that ensure individuals obtain a criminal history  
20 records search, not to include the re-submission of fingerprints,  
21 not less than once during each five (5) year period;

22 13. Any individual who refuses to consent to the criminal  
23 background check or knowingly makes a materially-false statement in  
24 connection with such criminal background check shall be ineligible

1 for ownership of, employment of or residence in a child care  
2 facility; and

3 ~~12.~~ 14. The Office of Juvenile Affairs shall require national  
4 criminal history records searches, as defined by Section 150.9 of  
5 Title 74 of the Oklahoma Statutes, which shall be provided by the  
6 Oklahoma State Bureau of Investigation for the purpose of obtaining  
7 the national criminal history records search, including Rap Back  
8 notification of and through direct request by the Office of Juvenile  
9 Affairs on behalf of any:

- 10 a. operator or responsible entity making a request to  
11 establish or operate a secure detention center,  
12 municipal juvenile facility, community intervention  
13 center or secure facility licensed or certified by the  
14 Office of Juvenile Affairs,
- 15 b. employee or applicant of a secure detention center,  
16 municipal juvenile facility, community intervention  
17 center or secure facility licensed or certified by the  
18 Office of Juvenile Affairs, or
- 19 c. persons allowed unsupervised access to children,  
20 including contract employees or volunteers, of a  
21 secure detention center, municipal juvenile facility,  
22 community intervention center or secure facility  
23 licensed or certified by the Office of Juvenile  
24 Affairs.

1 B. 1. a. On and after September 1, 1998:

2 (1) any child-placing agency contracting with a  
3 person for foster family home services or in any  
4 manner for services for the care and supervision  
5 of children shall also, prior to executing a  
6 contract, complete:

7 (a) a foster parent eligibility assessment for  
8 the foster care provider except as otherwise  
9 provided by divisions (2) and (4) of this  
10 subparagraph, and

11 (b) a national criminal history records search  
12 based upon submission of fingerprints for  
13 any adult residing in the foster family home  
14 through the Department of Human Services  
15 pursuant to the provisions of Section 1-7-  
16 106 of Title 10A of the Oklahoma Statutes,  
17 except as otherwise provided by divisions  
18 (2) and (4) of this subparagraph,

19 (2) the child-placing agency may place a child  
20 pending completion of the national criminal  
21 history records search if the foster care  
22 provider and every adult residing in the foster  
23 family home has resided in this state for at  
24

1                   least five (5) years immediately preceding such  
2                   placement,

3                   (3) a national criminal history records search based  
4                   upon submission of fingerprints to the Oklahoma  
5                   State Bureau of Investigation shall also be  
6                   completed for any adult who subsequently moves  
7                   into the foster family home,

8                   (4) provided, however, the Director of Human Services  
9                   or the Director of the Office of Juvenile  
10                  Affairs, or a designee, may authorize an  
11                  exception to the fingerprinting requirement for a  
12                  person residing in the home who has a severe  
13                  physical condition which precludes such person's  
14                  being fingerprinted, and

15                  (5) any child care facility contracting with any  
16                  person for foster family home services shall  
17                  request the Office of Juvenile Affairs to conduct  
18                  a juvenile justice information system review,  
19                  pursuant to the provisions of Sections 2-7-905  
20                  and 2-7-308 of Title 10A of the Oklahoma  
21                  Statutes, for any child over the age of thirteen  
22                  (13) years residing in the foster family home,  
23                  other than a foster child, or who subsequently  
24                  moves into the foster family home. As a

1 condition of contract, the child care facility  
2 shall obtain the consent of the parent or legal  
3 guardian of the child for such review.

4 b. The provisions of this paragraph shall not apply to  
5 foster care providers having a contract or contracting  
6 with a child-placing agency, the Department of Human  
7 Services or the Office of Juvenile Affairs prior to  
8 September 1, 1998. Such existing foster care  
9 providers shall comply with the provisions of this  
10 section, until otherwise provided by rules of the  
11 Department or by law.

12 2. a. (1) On and after September 1, 1998, except as  
13 otherwise provided in divisions (2) and (4) of  
14 this subparagraph, prior to contracting with a  
15 foster family home for placement of any child who  
16 is in the custody of the Department of Human  
17 Services or the Office of Juvenile Affairs, each  
18 Department shall complete a foster parent  
19 eligibility assessment, pursuant to the  
20 provisions of the Oklahoma Child Care Facilities  
21 Licensing Act, for such foster family applicant.  
22 In addition, except as otherwise provided by  
23 divisions (2) and (4) of this subparagraph, the  
24 Department shall complete a national criminal

1 history records search based upon submission of  
2 fingerprints for any adult residing in such  
3 foster family home.

4 (2) The Department of Human Services and Office of  
5 Juvenile Affairs may place a child pending  
6 completion of the national criminal history  
7 records search if the foster care provider and  
8 every adult residing in the foster family home  
9 has resided in this state for at least five (5)  
10 years immediately preceding such placement.

11 (3) A national criminal history records search based  
12 upon submission of fingerprints conducted by the  
13 Oklahoma State Bureau of Investigation shall also  
14 be completed for any adult who subsequently moves  
15 into the foster family home.

16 (4) The Director of Human Services or the Director of  
17 the Office of Juvenile Affairs or their designee  
18 may authorize an exception to the fingerprinting  
19 requirement for any person residing in the home  
20 who has a severe physical condition which  
21 precludes such person's being fingerprinted.

22 b. The provisions of this paragraph shall not apply to  
23 foster care providers having a contract or contracting  
24 with a child-placing agency, the Department of Human

1 Services or the Office of Juvenile Affairs prior to  
2 September 1, 1998. Such existing foster care  
3 providers shall comply with the provisions of this  
4 section, until otherwise provided by rules of the  
5 Department or by law.

6 3. The Department of Human Services or the Office of Juvenile  
7 Affairs shall provide for a juvenile justice information system  
8 review pursuant to Section 2-7-308 of Title 10A of the Oklahoma  
9 Statutes for any child over the age of thirteen (13) years residing  
10 in a foster family home, other than the foster child, or who  
11 subsequently moves into the foster family home.

12 C. The Department or the Board of Juvenile Affairs shall  
13 promulgate rules to identify circumstances when a criminal history  
14 records search or foster parent eligibility assessment for an  
15 applicant or contractor, or any person over the age of thirteen (13)  
16 years residing in a private residence in which a child care facility  
17 is located, shall be expanded beyond the records search conducted by  
18 the Oklahoma State Bureau of Investigation or as otherwise provided  
19 pursuant to this section.

20 D. Except as otherwise provided by the Oklahoma Children's Code  
21 and subsection F of this section, a conviction for a crime shall not  
22 be an absolute bar to employment, but shall be considered in  
23 relation to specific employment duties and responsibilities.  
24

1       E. 1. Information received pursuant to this section by an  
2 owner, administrator, or responsible entity of a child care  
3 facility, shall be maintained in a confidential manner pursuant to  
4 applicable state and federal laws.

5       2. The information, along with any other information relevant  
6 to the ability of the individual to perform tasks that require  
7 direct contact with children, may be released to another child care  
8 facility in response to a request from the child care facility that  
9 is considering employing or contracting with the individual unless  
10 deemed confidential by state and federal laws.

11       3. Requirements for confidentiality and recordkeeping with  
12 regard to the information shall be the same for the child care  
13 facility receiving the information in response to a request as those  
14 provided for in paragraph 1 of this subsection for the child care  
15 facility releasing such information.

16       4. Information received by any facility certified by the Office  
17 of Juvenile Affairs may be released to another facility certified by  
18 the Office if an individual is being considered for employment or  
19 contract, along with any other relevant information, unless the  
20 information is deemed confidential by state or federal law. Any  
21 information received by the Office shall be maintained in a  
22 confidential manner pursuant to applicable state and federal law.

23       F. 1. It shall be unlawful for individuals who are required to  
24 register pursuant to the Sex Offenders Registration Act to work with



1 or provide services to children or to reside in a child care  
2 facility and for any employer who offers or provides services to  
3 children to knowingly and willfully employ or contract with, or  
4 allow continued employment of or contracting with individuals who  
5 are required to register pursuant to the Sex Offenders Registration  
6 Act. Individuals required to register pursuant to the Sex Offenders  
7 Registration Act who violate any provision of Section 401 et seq. of  
8 this title shall, upon conviction, be guilty of a felony punishable  
9 by incarceration in a correctional facility for a period of not more  
10 than five (5) years and a fine of not more than Five Thousand  
11 Dollars (\$5,000.00) or both such fine and imprisonment.

12 2. Upon a determination by the Department of any violation of  
13 the provisions of this section, the violator shall be subject to and  
14 the Department may pursue:

- 15 a. an emergency order,
- 16 b. license revocation or denial,
- 17 c. injunctive proceedings,
- 18 d. an administrative penalty not to exceed Ten Thousand  
19 Dollars (\$10,000.00), and
- 20 e. referral for criminal proceedings.

21 3. In addition to the penalties specified by this section, the  
22 violator may be liable for civil damages.

23 SECTION 2. This act shall become effective November 1, 2017.  
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1 COMMITTEE REPORT BY: COMMITTEE ON HEALTH AND HUMAN SERVICES  
February 27, 2017 - DO PASS AS AMENDED  
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